

FORM PTO-1449 SAMUELS, GAUTHIER & STEVENS
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ATTORNEY DOCKET NO. 6430

SERIAL NO. 10/034.638

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

AUG 06 2003

APPLICANT: Wimperis et al

GROUP: 2872

FILING DATE: 12/21/01

EXAMINER: Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
EK	AA	6,276,806	08/21/2001	Cowell			08/24/1999
	AB						
	AC						
	AD						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
EK	AE	WO 01 77626	10/18/2001	PCT			YES
EK	AF	WO 01 88604	11/22/2001	PCT			YES
	AG						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		
EK	AH	Jerman J.H. et al. "Miniature Fabry-Perot Interferometers Micromachined in Silicon for Use in Optical Fiber WDM Systems" International Conference on Solid State Sensors and Actuators. New York. IEEE, US Vol. Conf. 6, 24, June 24, 1991.
	AI	
	AJ	

EXAMINER Ellen Kim

DATE CONSIDERED 10/15/03

EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Ek	AA	4,172,663	10/30/79	Byer et al.	356	352	
	AB	4,830,451	05/16/89	Stone	350	96.15	
	AC	5,321,539	06/14/94	Hirabayashi et al.	359	94	
	AD	5,825,792	10/20/98	Villeneuve, et al.	372	32	
	AE	5,828,689	10/27/98	Epworth	372	98	
	AF	5,903,393	05/11/99	Kilikjian	359	618	
	AG	5,970,076	10/19/99	Hamada	372	20	
	AH	6,181,726	01/30/01	Lunt	372	98	05/27/99
	AI	6,186,937	02/13/01	Ackerman et al.	506	352	07/30/99
	AJ	6,208,316	03/27/01	Cahill	343	909	09/11/97
	AK	6,215,801	04/10/01	Ackerman et al.	372	32	03/05/99
	AL	6,215,802	04/10/01	Lunt	372	34	07/20/99
	AM	6,222,861	04/24/01	Kuo et al.	372	20	09/03/98
	AN	6,243,403	06/05/02	Brouin et al.	372	32	01/11/99
	AO	6,246,480	06/12/01	O'Brien	356	454	09/01/99
Ek	AP	6,276,806	08/21/01	Skillicom et al.	359	855	08/24/99

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		
Ek	AQ	Jürgen Jahns; PLANAR PACKAGING OF FREE-SPACE OPTICAL INTERCONNECTIONS, Proceedings at IEEE, Vol. 82, No. 11, November 1999.
	AR	M.P. Schamschula, P. Reardon, H.J. Caulfield and C.F. Heater; REGULAR GEOMETRIES FOR FOLDED OPTICAL MODULES; 02/10/95; Applied Optics; Vol. 34, No. 5; p. 816-827
	AS	Marius P. Schamschula, H. John Caulfield, Avery Brown; SPACE-FILLING MODULAR OPTICS; 05/15/94; Optics Letters; Vol. 19, No. 10p. 688-691
	AT	Jan Popeleck, Yao Li; X-CUBE, AN INTEGRATED BULK OPTIC FILTERING DEVICE; NEC Research Institute, Princeton, New Jersey, January 11, 2001
Ek	AT	Sun et al; POLYMERIC WAVEGUIDE PRISM-BASED ELECTRO-OPTIC BEAM DEFLECTOR; Society of Photo-Optical Instrumentation Engineers, January 4, 2001

EXAMINER	Ellen Kim	DATE CONSIDERED	10/15/03
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